

证 明

CERTIFICATE

本证明之附件是向中国专利局作为受理局提交的下列国际申请副本
THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY OF THE BELOW
IDENTIFIED INTERNATIONAL APPLICATION THAT WAS FILED WITH THE
CHINESE PATENT OFFICE AS RECEIVING OFFICE

国际申请号: PCT/CN03/00868

INTERNATIONAL APPLICATION NUMBER

国际申请日: 16 OCT 2003 (16.10.03)

INTERNATIONAL FILING DATE

发明名称: METHOD AND MECHANISM OF THE SUSPENSION
TITLE OF INVENTION REASONANCE OPTIMIZATION FOR THE
HARD DISK DRIVE

申请人: SAE MAGNETICS(H.K.)LTD.

APPLICANT

中华人民共和国国家知识产权局局长
COMMISSIONER OF THE STATE INTELLECTUAL PROPERTY
OFFICE OF THE PEOPLE'S REPUBLIC OF CHINA

王荣川

二零零三年十一月十三日

NOVEMBER 13, 2003

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

PCT/CN 03/00868

International Application No.

16 OCT 2003 (16.10.03)

International Filing Date

RO/CN 中华人民共和国国家知识产权局

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference
(if desired) (12 characters maximum) FPEL03150035

Box No. I TITLE OF INVENTION	
METHOD AND MECHANISM OF THE SUSPENSION REASONANCE OPTIMIZATION FOR THE HARD DISK DRIVE	
Box No. II APPLICANT <input type="checkbox"/> This person is also inventor	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	
SAE MAGNETICS (H. K.) LTD. SAE Tower, 38-42 Kwai Fung Crescent Kwai Chung N. T. Hong Kong Special Administrative Region, P. R. of China	
Telephone No.	
Facsimile No.	
Teleprinter No.	
Applicant's registration No. with the Office	
State (that is, country) of nationality: CN	State (that is, country) of residence: CN
This person is applicant for the purposes of: <input checked="" type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	
YAO, Minggao WINNERWAY INDUSTRIAL AREA, NANCHENG, DONGGUAN CITY, GUANGDONG PROVINCE, P.R. CHINA Zip Code: 511700	
This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input checked="" type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)	
Applicant's registration No. with the Office	
State (that is, country) of nationality:	State (that is, country) of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input checked="" type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	
CHINA PATENT AGENT (H.K.) LTD. 22/F, Great Eagle Centre 23 Harbour Road, Wanchai Hong Kong Special Administrative Region The People's Republic of China	
Telephone No. (852)28284688	
Facsimile No. (852)28271018	
Teleprinter No.	
Agent's registration No. with the Office	
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

Sheet No. ... 2 ...

Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)	
<i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i>	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> SHIRAISHI MASASHI⁺, Masashi Flat C, 22/F, Tower 9 Laguna Verde (Phase II A) Hung Hom, Kowloon Hong Kong Special Administrative Region P. R. of China	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input checked="" type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> 	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> 	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> 	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> 	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i> Applicant's registration No. with the Office
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet.	

RO/CN

Sheet No. ...3...

Box No. V DESIGNATION OF STATES

Mark the applicable check-boxes below; at least one must be marked.

The following designations are hereby made under Rule 4.9(a):

Regional Patent

- ☐ **AP ARIPO Patent:** GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZM Zambia, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT (if other kind of protection or treatment desired, specify on dotted line)
- ☐ **EA Eurasian Patent:** AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☐ **EP European Patent:** AT Austria, BE Belgium, BG Bulgaria, CH & LI Switzerland and Liechtenstein, CY Cyprus, CZ Czech Republic, DE Germany, DK Denmark, EE Estonia, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, HU Hungary, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, RO Romania, SE Sweden, SI Slovenia, SK Slovakia, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☐ **OA OAPI Patent:** BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GQ Equatorial Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- | | | |
|--|---|--|
| <input type="checkbox"/> AE United Arab Emirates | <input type="checkbox"/> HR Croatia | <input type="checkbox"/> OM Oman |
| <input type="checkbox"/> AG Antigua and Barbuda | <input type="checkbox"/> HU Hungary | <input type="checkbox"/> PG Papua New Guinea |
| <input type="checkbox"/> AL Albania | <input type="checkbox"/> ID Indonesia | <input type="checkbox"/> PH Philippines |
| <input type="checkbox"/> AM Armenia | <input type="checkbox"/> IL Israel | <input type="checkbox"/> PL Poland |
| <input type="checkbox"/> AT Austria | <input type="checkbox"/> IN India | <input type="checkbox"/> PT Portugal |
| <input type="checkbox"/> AU Australia | <input type="checkbox"/> IS Iceland | <input type="checkbox"/> RO Romania |
| <input type="checkbox"/> AZ Azerbaijan | <input type="checkbox"/> JP Japan | <input type="checkbox"/> RU Russian Federation |
| <input type="checkbox"/> BA Bosnia and Herzegovina | <input type="checkbox"/> KE Kenya | |
| <input type="checkbox"/> BB Barbados | <input type="checkbox"/> KG Kyrgyzstan | <input type="checkbox"/> SC Seychelles |
| <input type="checkbox"/> BG Bulgaria | <input type="checkbox"/> KP Democratic People's Republic of Korea | <input type="checkbox"/> SD Sudan |
| <input type="checkbox"/> BR Brazil | <input type="checkbox"/> KR Republic of Korea | <input type="checkbox"/> SE Sweden |
| <input type="checkbox"/> BY Belarus | <input type="checkbox"/> KZ Kazakhstan | <input type="checkbox"/> SG Singapore |
| <input type="checkbox"/> BZ Belize | <input type="checkbox"/> LC Saint Lucia | <input type="checkbox"/> SK Slovakia |
| <input type="checkbox"/> CA Canada | <input type="checkbox"/> LK Sri Lanka | <input type="checkbox"/> SL Sierra Leone |
| <input type="checkbox"/> CH & LI Switzerland and Liechtenstein | <input type="checkbox"/> LR Liberia | <input type="checkbox"/> SY Syrian Arab Republic |
| <input checked="" type="checkbox"/> CN China | <input type="checkbox"/> LS Lesotho | <input type="checkbox"/> TJ Tajikistan |
| <input type="checkbox"/> CO Colombia | <input type="checkbox"/> LT Lithuania | <input type="checkbox"/> TM Turkmenistan |
| <input type="checkbox"/> CR Costa Rica | <input type="checkbox"/> LU Luxembourg | <input type="checkbox"/> TN Tunisia |
| <input type="checkbox"/> CU Cuba | <input type="checkbox"/> LV Latvia | <input type="checkbox"/> TR Turkey |
| <input type="checkbox"/> CZ Czech Republic | <input type="checkbox"/> MA Morocco | <input type="checkbox"/> TT Trinidad and Tobago |
| <input type="checkbox"/> DE Germany | <input type="checkbox"/> MD Republic of Moldova | |
| <input type="checkbox"/> DK Denmark | <input type="checkbox"/> MG Madagascar | <input type="checkbox"/> TZ United Republic of Tanzania |
| <input type="checkbox"/> DM Dominica | <input type="checkbox"/> MK The former Yugoslav Republic of Macedonia | <input type="checkbox"/> UA Ukraine |
| <input type="checkbox"/> DZ Algeria | <input type="checkbox"/> MN Mongolia | <input type="checkbox"/> UG Uganda |
| <input type="checkbox"/> EC Ecuador | <input type="checkbox"/> MW Malawi | <input type="checkbox"/> US United States of America |
| <input type="checkbox"/> EE Estonia | <input type="checkbox"/> MX Mexico | |
| <input type="checkbox"/> ES Spain | <input type="checkbox"/> MZ Mozambique | <input type="checkbox"/> UZ Uzbekistan |
| <input type="checkbox"/> FI Finland | <input type="checkbox"/> NI Nicaragua | <input type="checkbox"/> VC Saint Vincent and the Grenadines |
| <input type="checkbox"/> GB United Kingdom | <input type="checkbox"/> NO Norway | <input type="checkbox"/> VN Viet Nam |
| <input type="checkbox"/> GD Grenada | <input type="checkbox"/> NZ New Zealand | <input type="checkbox"/> YU Serbia and Montenegro |
| <input type="checkbox"/> GE Georgia | | <input type="checkbox"/> ZA South Africa |
| <input type="checkbox"/> GH Ghana | | <input type="checkbox"/> ZM Zambia |
| <input type="checkbox"/> GM Gambia | | <input type="checkbox"/> ZW Zimbabwe |

Check-boxes below reserved for designating States which have become party to the PCT after issuance of this sheet:

☐ ☐ ☐

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)

Sheet No. ...4...

Box No. VI PRIORITY CLAIM

The priority of the following earlier application(s) is hereby claimed:

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country or Member of WTO	regional application:* regional Office	international application: receiving Office
item (1)				
item (2)				
item (3)				
item (4)				
item (5)				

☐ Further priority claims are indicated in the Supplemental Box.

The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office) identified above as:

☐ all items ☐ item (1) ☐ item (2) ☐ item (3) ☐ item (4) ☐ item (5) ☐ other, see Supplemental Box

* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)):

Box No. VII INTERNATIONAL SEARCHING AUTHORITY

Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA / CN

Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year)

Number

Country (or regional Office)

Box No. VIII DECLARATIONS

The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):

Number of
declarations

- | | | |
|---|--|---|
| <input type="checkbox"/> Box No. VIII (i) | Declaration as to the identity of the inventor | : |
| <input type="checkbox"/> Box No. VIII (ii) | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent | : |
| <input type="checkbox"/> Box No. VIII (iii) | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application | : |
| <input type="checkbox"/> Box No. VIII (iv) | Declaration of inventorship (only for the purposes of the designation of the United States of America) | : |
| <input type="checkbox"/> Box No. VIII (v) | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty | : |

Sheet No. 5

Box No. IX CHECK LIST; LANGUAGE OF FILING

This international application contains:		This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):		Number of items
(a) in paper form, the following number of sheets:		1. <input checked="" type="checkbox"/> fee calculation sheet		1
request (including declaration sheets)	5	2. <input checked="" type="checkbox"/> original separate power of attorney		1
description (excluding sequence listings and/or tables related thereto)	8	3. <input type="checkbox"/> original general power of attorney		
claims	3	4. <input type="checkbox"/> copy of general power of attorney; reference number, if any:		
abstract	1	5. <input type="checkbox"/> statement explaining lack of signature		
drawings	8	6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s):		
Sub-total number of sheets	25	7. <input type="checkbox"/> translation of international application into (language):		
sequence listings		8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material		
tables related thereto		9. <input type="checkbox"/> sequence listings in computer readable form (indicate type and number of carriers)		
(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)		(i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application):		
Total number of sheets	25	(ii) <input type="checkbox"/> (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter		
(b) <input type="checkbox"/> only in computer readable form (Section 801(a)(i))		(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listings mentioned in left column		
(i) <input type="checkbox"/> sequence listings		10. <input type="checkbox"/> tables in computer readable form related to sequence listings (indicate type and number of carriers)		
(ii) <input type="checkbox"/> tables related thereto		(i) <input type="checkbox"/> copy submitted for the purposes of international search under Section 802(b-quater) only (and not as part of the international application)		
(c) <input type="checkbox"/> also in computer readable form (Section 801(a)(ii))		(ii) <input type="checkbox"/> (only where check-box (b)(ii) or (c)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater)		
(i) <input type="checkbox"/> sequence listings		(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column		
(ii) <input type="checkbox"/> tables related thereto		11. <input type="checkbox"/> other (specify):		
Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are contained the				
<input type="checkbox"/> sequence listings:				
<input type="checkbox"/> tables related thereto:				
(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)				

Figure of the drawings which should accompany the abstract: Fig 3a

Language of filing of the international application: EN

Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).



For receiving Office use only		2. Drawings: <input type="checkbox"/> received: <input type="checkbox"/> not received:
1. Date of actual receipt of the purported international application:	16 OCT 2003 (16.10.03)	
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:		
4. Date of timely receipt of the required corrections under PCT Article 11(2):		
5. International Searching Authority (if two or more are competent): ISA /	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid	

For International Bureau use only

Date of receipt of the record copy by the International Bureau:

This sheet is not part of and does not count as a sheet of the international application.

PCT

FEE CALCULATION SHEET

Annex to the Request

For receiving Office use only

PCT/CN 03/00868

International Application No.

16 OCT 2003 (16.10.03)

Date stamp of the receiving Office

Applicant's or agent's
file reference

FPEL03150035

Applicant

SAE MAGNETICS (H.K.) LTD.

CALCULATION OF PRESCRIBED FEES

1. TRANSMITTAL FEE

CNY500

T

CNY 500.✓

2. SEARCH FEE

CNY1500

S

CNY 1500.✓

International search to be carried out by

(If two or more International Searching Authorities are competent to carry out the international search, indicate the name of the Authority which is chosen to carry out the international search.)

3. INTERNATIONAL FEE

Basic Fee

Where items (b) and/or (c) of Box No. IX apply, enter Sub-total number of sheets } 25

Where items (b) and (c) of Box No. IX do not apply, enter Total number of sheets }

b1 first 30 sheets CHF650 b1

CHF 650.✓

b2 number of sheets in excess of 30 x fee per sheet = b2

b3 additional component (only if sequence listings and/or tables related thereto are filed in computer readable form under Section 801(a)(i), or both in that form and on paper, under Section 801(a)(ii)):

400 x fee per sheet = b3

Add amounts entered at b1, b2 and b3 and enter total at B B

Designation Fees

The international application contains 1 designations.

1 x CHF140 = CHF140 D
number of designation fees payable (maximum 5) amount of designation fee

CHF 140.✓

CHF 790.✓

Add amounts entered at B and D and enter total at I CHF790 I

(Applicants from certain States are entitled to a reduction of 75% of the international fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the sum of the amounts entered at B and D.)

4. FEE FOR PRIORITY DOCUMENT (if applicable) P

5. TOTAL FEES PAYABLE

CNY2000CHF790

CNY 2000.✓

CHF 790.✓

Add amounts entered at T, S, I and P, and enter total in the TOTAL box

TOTAL

☐ The designation fees are not paid at this time.

MODE OF PAYMENT

☒ authorization to charge
deposit account (see below)

☐ postal money order

☐ cash

☐ coupons

☐ cheque

☐ bank draft

☐ revenue stamps

☐ other (specify):

AUTHORIZATION TO CHARGE (OR CREDIT) DEPOSIT ACCOUNT

(This mode of payment may not be available at all receiving Offices)

☒ Authorization to charge the total fees indicated above.

☒ (This check-box may be marked only if the conditions for deposit accounts of the receiving Office so permit) Authorization to charge any deficiency or credit any overpayment in the total fees indicated above.

☐ Authorization to charge the fee for priority document.

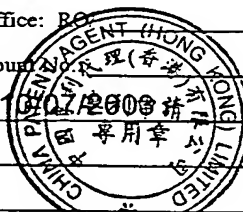
Receiving Office: RO

Deposit Account No.

Date:

Name:

Signature:



METHOD AND MECHANISM OF THE SUSPENSION RESONANCE OPTIMIZATION FOR THE HARD DISK DRIVER

Background Information

5 [0001] The present invention is directed to attaching a slider to a head suspension. More specifically, the present invention pertains to reducing the amount of adhesive needed to couple the slider to the head suspension.

[0002] **Figure 1** illustrates a hard disk drive design typical in the art. Hard disk drives 100 are common information storage devices consisting essentially of a series of rotatable disks 104 that are accessed by magnetic reading and writing elements. These data transferring elements, commonly known as transducers, are typically carried by and embedded in a slider body 110. The slider 110 is held in a close relative position by a head gimbal assembly (HGA), including a suspension 102 attached to an actuator arm 106, over discrete data tracks formed on a disk to permit a read or write operation to be carried out. The HGA is rotated around a pivot 108 by a voice coil motor 112. In order to properly position the transducer with respect to the disk surface, an air bearing surface (ABS) formed on the slider body 110 experiences a fluid air flow that provides sufficient lift force to "fly" the slider 110 (and transducer) above the disk data tracks. The high speed rotation of a magnetic disk 104 generates a stream of air flow or wind along its surface in a direction substantially parallel to the tangential velocity of the disk. The air flow cooperates with the ABS of the slider body 110 which enables the slider to fly above the spinning disk. In effect, the suspended slider 110 is physically separated from the disk surface 104 through this self-actuating air bearing. The ABS of a slider 110 is generally configured on the slider surface facing the rotating disk 104 (*see below*), and greatly influences its ability to fly over the disk under various conditions.

[0003] **Figures 2a-d** illustrates a prior art method for coupling a slider 110 and micro-actuator 202 to the suspension 102 of an actuator arm 104. As

shown in **Figure 2a**, a slider 110 is coupled to a micro-actuator 202. The micro-actuator 202 provides a finer degree of slider movement control than the actuator arm 104. The micro-actuator 202 has a base 204 with two arms 206 projecting from the base 204. A stripe of piezoelectric (PZT) material 208 is coupled to the side of each actuator arm 206. An electric charge applied to the PZT stripe 208 causes it to expand or contract, moving the actuator arms 206. The slider 110 is bonded to the actuator arms 206 at the bonding points 210.

[0004] As shown in **Figure 2b**, the micro-actuator 202 is couple to the suspension 102 via a suspension tongue 212. The suspension 102 is coupled to a base plate 214. The base plate has a hole 216 that allows the base plate 214 to rotate around a pivot. A series of traces 218 run the length of the suspension 102 and suspension tongue 212 to be electrically coupled to the slider 110 and the micro-actuator 202. The traces 218 are electrically coupled to a control circuit via a series of bonding pads 220 mounted on the base plate 214. As shown in **Figure 2c**, the micro-actuator 202 is positioned so as to maintain a gap 222 between it and the suspension tongue 212 and by extension between the slider 110 and the suspension tongue 212.

[0005] The resonance performance of the suspension is a major factor in the resonance control of the HGA. The resonance performance is optimized during the manufacturing process in order to improve resonance control. The traditional method for testing the resonance performance of the suspension is to use a mechanical HGA. As shown in **Figure 2d**, an actual slider 110 is fully potted to the suspension tongue 212 to create a mechanical HGA. The mechanical HGA is loaded into a resonance tester. The resonance tester can use a laser Doppler to monitor or sample the frequency response during mechanical shaking of the HGA base plate. Corrections can be made to the manufacturing process or the design based on the results of the test. The slider in this instance is easily recycled after testing is completed.

[0006] This testing method becomes more difficult for an HGA that includes a micro-actuator. Mounting the micro-actuator in addition to the slider requires a much more accurate mounting machine or fixture, mainly to maintain a parallel gap between the micro-actuator and the suspension tongue.

- 5 Additionally, the micro-actuator is not so easily used and recycled as the micro-actuator is fragile and its manufacture is difficult and expensive.

Brief Description Of The Drawings

[0007] **Figure 1** illustrates a hard disk drive design typical in the art.

[0008] **Figures 2a-d** illustrates a prior art method for coupling a slider and micro-actuator to the suspension of an actuator arm.

5 [0009] **Figures 3a-d** illustrate a test slider 302 according to embodiments of the present invention.

[0010] **Figures 4a-b** illustrate in graph form a comparison of the performance of a slider and micro-actuator and a test slider.

[0011] **Figures 5a-p** illustrate a process for fabricating the test slider
10 according to embodiments of the present invention.

[0012] **Figure 6** illustrates in a flowchart a process for fabricating a test slider according to embodiments of the present invention.

Detailed Description

[0013] A system and method are disclosed for using a test slider to test the resonance performance of a head gimbal assembly. In one embodiment, the test slider has a two-stripe air-bearing surface to allow the test slider to glide above a surface and a block with a mass equal to the combined mass of the electrical slider and the micro-actuator. The leading edge of the slider is tapered and has a main air groove to facilitate gliding. A back step on the side of the test slider opposite the air bearing surface maintains a parallel gap between the slider and the suspension tongue of the head gimbal assembly.

[0014] In one embodiment, the mechanical head gimbal assembly (HGA) is loaded on a removable HGA mounting block. The block is loaded onto the resonance shaker of a resonance tester. The resonance tester can precisely measure the mechanical resonance of the HGA. The resonance tester can use a laser Doppler vibrometer to monitor or sample the frequency response during mechanical shaking of the HGA base plate. The resonance tester provides an output plot of the magnitude and the phase vs. the frequency. Additionally, the resonance tester provides a listing of the amplitudes and frequency of the resonance peak to be processed by a fourier analyzer. This allows the suspension resonance to be controlled during the design and manufacture process. If the resonance frequency shift or gain is higher than the expectation, corrections can be made to the manufacturing process or the design based on the results of the test. Corrections include, for example, the modification of the geometry of the suspension structure or the optimization of the manufacture process. The test slider is easily recycled after testing is completed.

[0015] **Figures 3a-d** illustrate one embodiment of a test slider 302. The mechanical block of the test slider 302 has a mass and external form equivalent to the mass of a normal slider 110 and micro-actuator 202 combined. The air bearing characteristic of the test slider is substantially equivalent to a slider and micro-actuator. As shown in **Figure 3a**, the test slider 302 has an air bearing

surface (ABS) 304, which allows the slider on a current of air above a surface, such as the hard disk of a disk drive. In the embodiment shown, the ABS 304 has two stripes. The edges 306 of each stripe 304 are tapered at the leading edge of the test slider 302, to guide the air onto the slider ABS and ease the slider take off, maintaining the head flying on the disk by a consistent force. A main air groove 308 is located between the two ABS stripes 304. A side rail surface indentation 310 is located on the outer side of each ABS stripe 304. The side rail surface indentation 310 reduces the risk of a head crash or shock to the disk due to sharp corners when the head is loaded on and unloaded off the disk. As shown in the embodiment of **Figure 3b**, the side of the test slider opposite the ABS stripes 304 may have a step 312. The step 312 can be on the leading edge of the slider 302. As shown in **Figure 3c**, the step 312 maintains the gap 222 between the test slider 302 and the suspension tongue 212. As shown in **Figure 3d**, the test slider 302 is coupled to the suspension 102 to test the resonance control of the suspension 102. In one embodiment, the test slider 302 is coupled to the suspension 102 by partially potting the surface of the step 312 before mounting it to the suspension tongue 212. Epoxy or resin may be used for coupling. Epoxy may be partially added to the leading edge of the suspension tongue 212 or on the step surface 312 of the testing slider for more secure mounting.

[0016] **Figures 4a-b** illustrate in graph form a comparison of the performance of a slider and micro-actuator and a test slider. As shown in **Figure 4a**, a resonance comparison of gain in dB to frequency in kHz for the slider with micro-actuator 402 and the test slider 404 produces nearly identical results. As shown in **Figure 4b**, a w-curve comparison of gain in dB to the zenith height in millionths of an inch for the slider with micro-actuator 406 and the test slider 408 produces nearly identical results.

[0017] **Figures 5a-p** illustrate one embodiment of a process for fabricating the test slider. As shown in **Figure 5a**, a ceramic row bar 502 is

applied to a lapping wheel 504. The lapping wheel 504 creates a smooth air bearing surface 304 on the row bar 502, as shown in **Figure 5b**. As shown in **Figure 5c**, the leading edge of the row bar 502 is applied to the lapping wheel 504. The lapping wheel 504 creates a tapered edge 306 on the air bearing surface 304 of the row bar 502, as shown in **Figure 5d**. As shown in **Figure 5e**, a grinding wheel 506 is applied to the row bar 502. The grinding 506 wheel creates side rail surface indentation 310, as shown in **Figure 5f**. As shown in **Figure 5g**, a grinding wheel 506 is again applied to the row bar 502. The grinding 506 wheel grinds a tract across the row bar, as shown in **Figure 5h**. This tract acts as the main air groove 308, as shown in **Figure 5i**. As shown in **Figure 5j**, a grinding wheel 506 is applied to the row bar 502. The grinding 506 wheel creates a tract 508. In one embodiment, the tract 508 is twice the width of a side rail surface indentation 310. A cutting wheel 510 then cuts down the center of the tract 508, splitting the tract 508 into two side rails 310, as shown in **Figure 5k**. Alternatively, the grinding wheel 506 is used to grind through the row bar 502 at the center of the tract 508, with the tract 508 widened to accommodate the wider wheel 506. The severed piece of the row bar 502 is the test slider 302, as shown in **Figure 5l**. As shown in **Figure 5m**, the edge of a grinding wheel 512 is applied to the side opposite the air bearing surface 304 to produce a step 312. In an alternate embodiment shown in **Figure 5n**, the edge of a grinding wheel 512 is applied to the side opposite the leading edge to produce a step 312. The result is cleaned to produce a finished test slider 302 shown in **Figure 5p**.

[0018] **Figure 6** illustrates in a flowchart one embodiment of a process for fabricating a test slider 302. The row bar 502 is lapped to create a smooth air bear surface 304 (Block 610). The row bar 502 is then lapped to create a tapered surface 306 at the leading edge (Block 620). A side rail surface indentation 310 is ground out of the edge adjacent to the leading edge of the row bar 502 on the air bearing surface 304 (Block 630). The main air groove

308 is then ground out of the row bar 502 on the air bearing surface 304 parallel to the side rail surface indentation 310 (Block 640). A second side rail surface indentation 508 double the size of the first is ground out of the row bar 502 on the air bearing surface 304 (Block 650). The row bar 502 is then sliced through
5 at the center of the second side rail surface indentation 508 (Block 660). A step 312 is ground out of the back of the test slider 302 (Block 670). The test slider 302 is then cleaned and is ready for use (Block 680).

Claims

1. A method comprising the steps of :

coupling a test slider to a suspension in place of a slider with micro-actuator;
and

measuring a resonance and W-curve of the suspension.

5 2. The method of claim 1, wherein the test slider has a mass substantially equivalent to the slider with micro-actuator.

3. The method of claim 2, wherein the test slider has an external form substantially equivalent to the slider with micro-actuator.

10

4. The method of claim 1, wherein the test slider has a weight balance substantially equivalent to the slider with micro-actuator.

15 5. The method of claim 1, wherein the test slider has an air bearing characteristic substantially equivalent to the slider with micro-actuator.

6. The method of claim 1, wherein the test slider has an air bearing surface to allow the test slider to glide above a disk media surface.

20 7. The method of claim 6, wherein the test slider has a step on a side of the block opposite the air bearing surface to maintain a gap between the test slider and a suspension.

25 8. The method of claim 7, wherein the test slider is coupled to the suspension by partially potting adhesive on a surface of the step.

9. The method of claim 1, wherein the test slider is coupled to the suspension by partial potting.

10. The method of claim 1, further comprising amending mechanically the suspension when the measured resonance is out of a predetermined scope.

11. A test slider, comprising :

a block with a mass substantially equivalent to a slider with micro-actuator to represent a micro-actuator and slider during suspension resonance testing;

10 an air bearing surface to allow the block to glide above a disk media surface.

12. The test slider of claim 11, wherein the block has an external form substantially equivalent to the slider with micro-actuator.

15 13. The test slider of claim 11, wherein the block has a weight balance substantially equivalent to the slider with micro-actuator.

14. The test slider of claim 11, further comprising a main air groove along the air bearing surface.

20

15. The test slider of claim 11, wherein the leading edge of the air bearing surface is tapered.

16. The test slider of claim 11, further comprising a step on a side of the block opposite the air bearing surface to maintain a gap between the block and a suspension.

17. A method, comprising:

lapping a ceramic row bar to create a smooth air bearing surface; and

cutting the ceramic row bar into test sliders to be coupled to suspensions.

18. The method of claim 17, further comprising grinding a main air groove on the air bearing surface.

5

19. The method of claim 17, further comprising grinding a step on a side of the ceramic row bar opposite the air bearing surface to maintain a gap between the test slider and the suspension.

Abstract

A system and method are disclosed for using a test slider to test the resonance performance of a head gimbal assembly. The test slider has a two-stripe air-bearing surface to allow the test slider to glide above a surface and a block with a mass equal to the combined mass of the electrical slider and the micro-actuator. The leading edge of the slider is tapered and has a main air groove to facilitate gliding. A back step on the side of the test slider opposite the air bearing surface maintains a parallel gap between the slider and the suspension tongue of the head gimbal assembly.

1/8

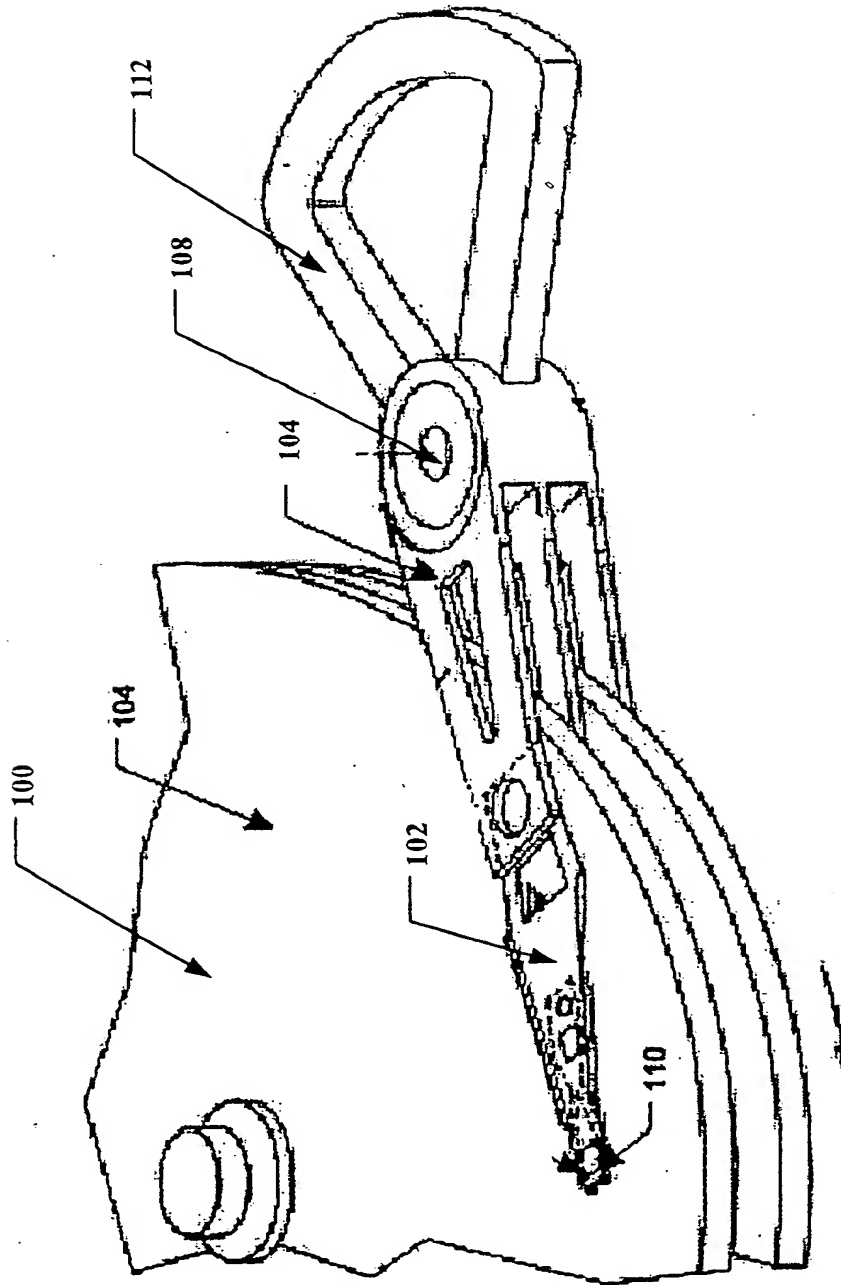


Figure 1

2/8

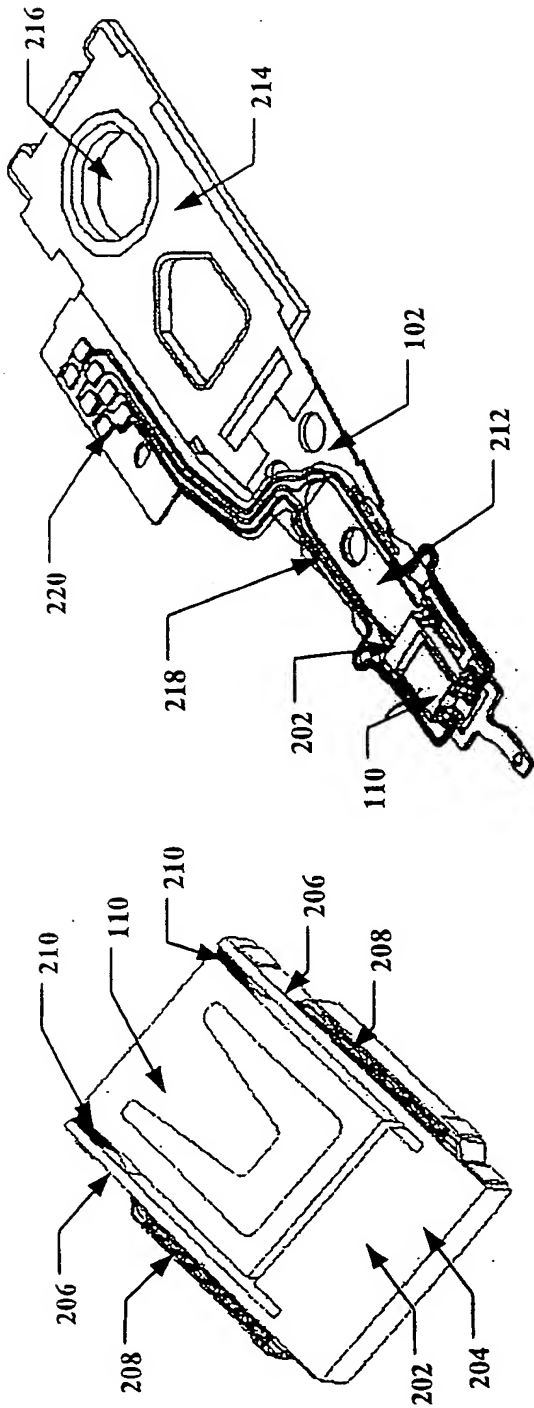


Figure 2a

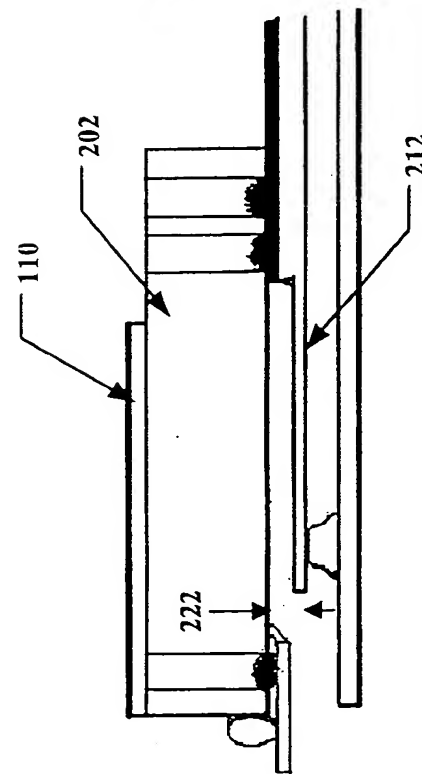


Figure 2c

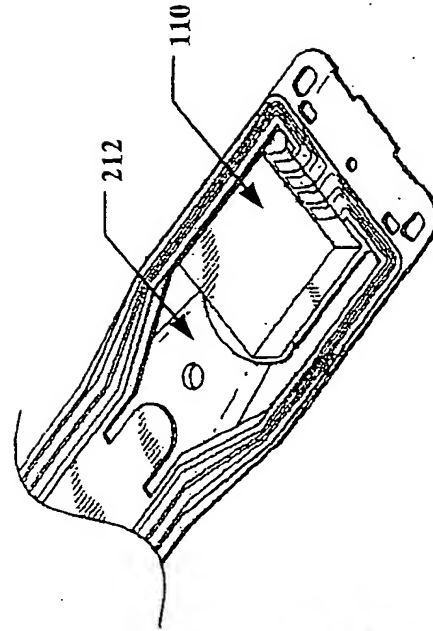


Figure 2d

Figure 2b

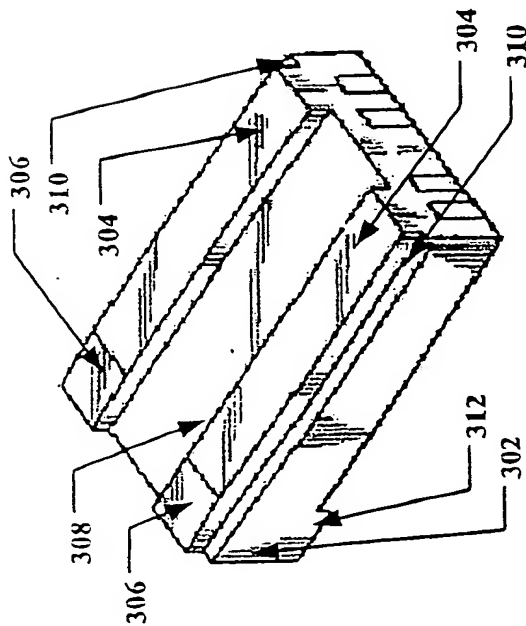


Figure 3a

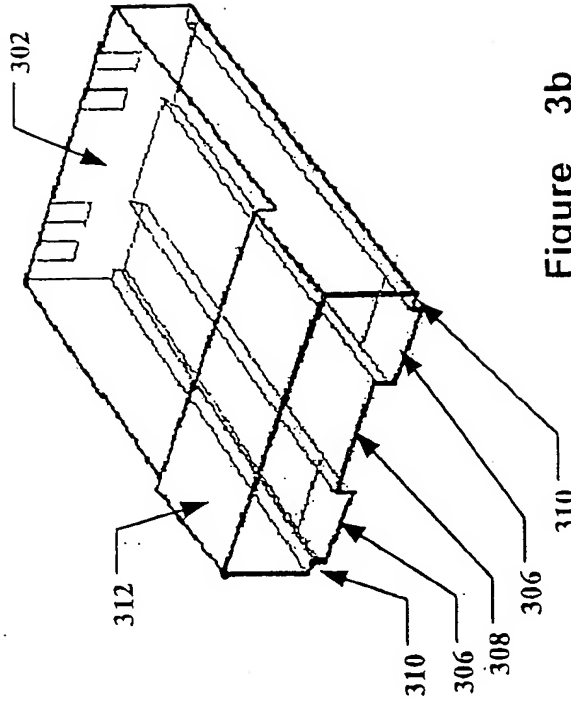


Figure 3b

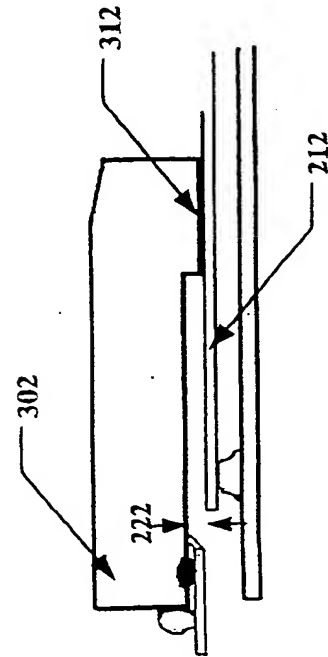


Figure 3c

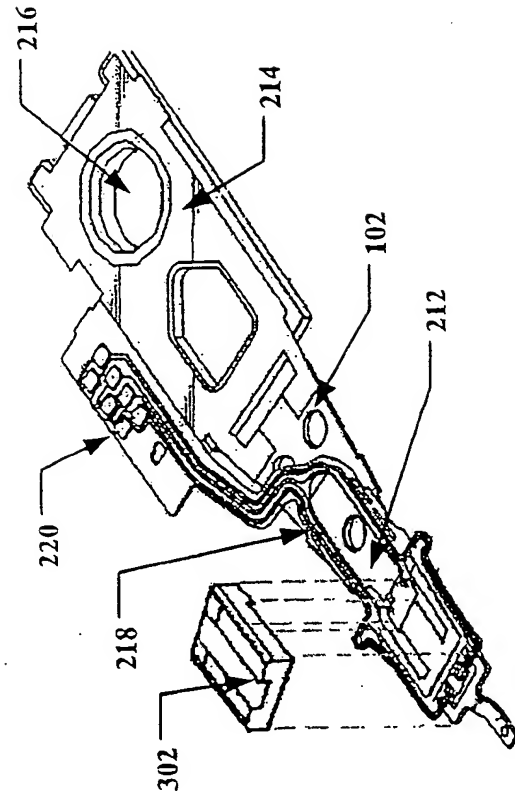


Figure 3d

4/8

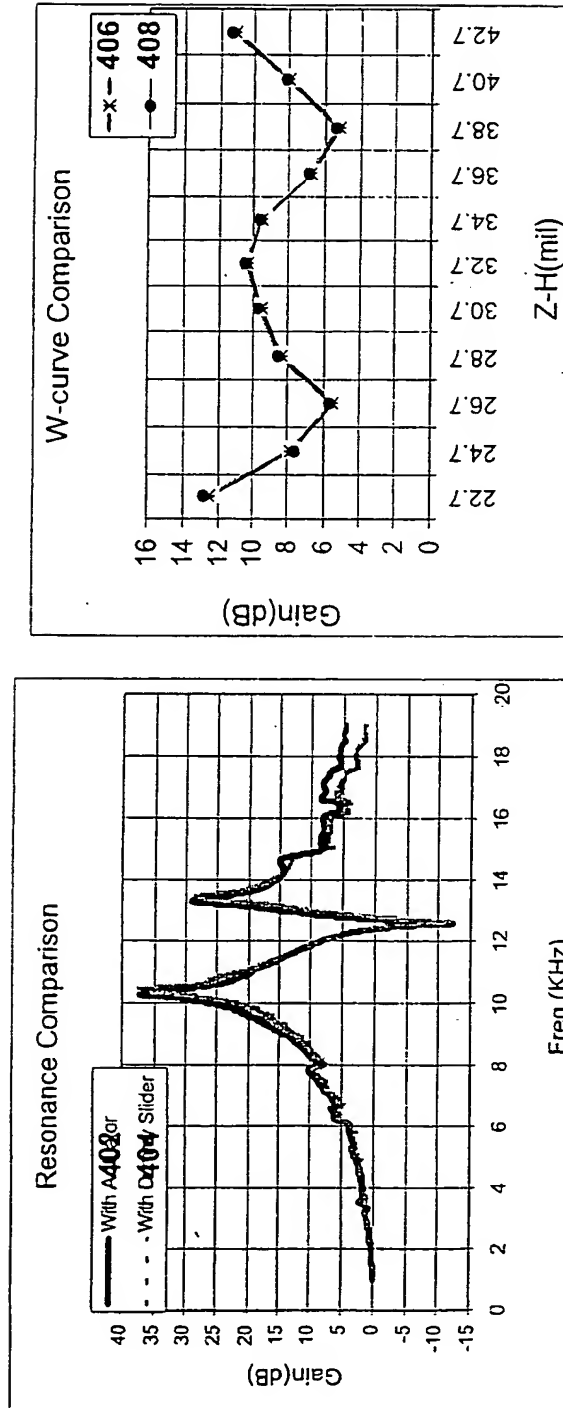


Figure 4b

Figure 4a

5/8

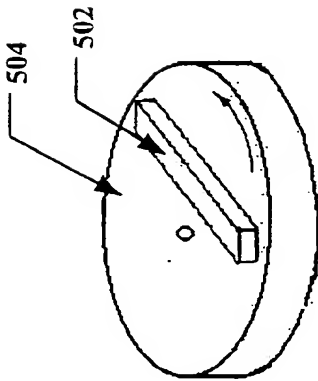


Figure 5a

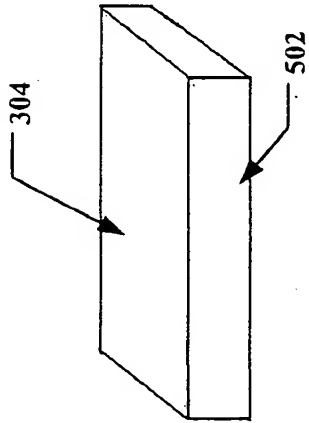


Figure 5b

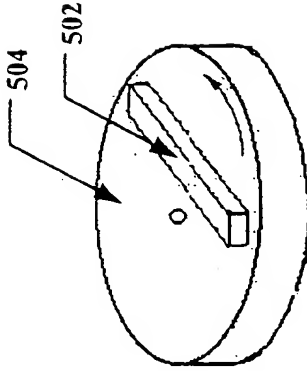


Figure 5c

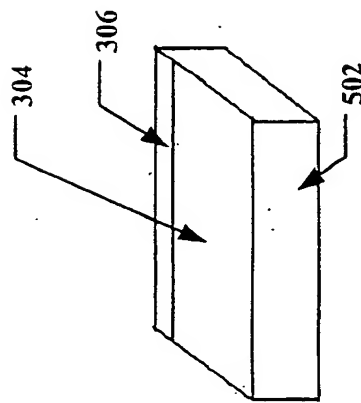


Figure 5d

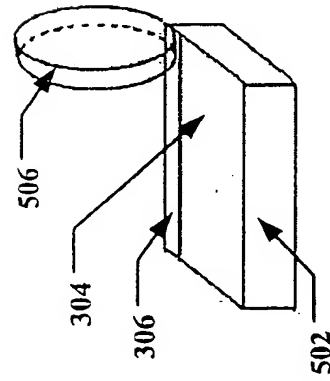


Figure 5e

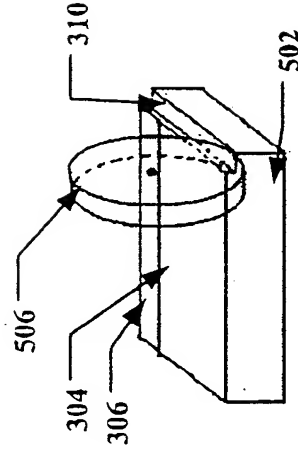


Figure 5f

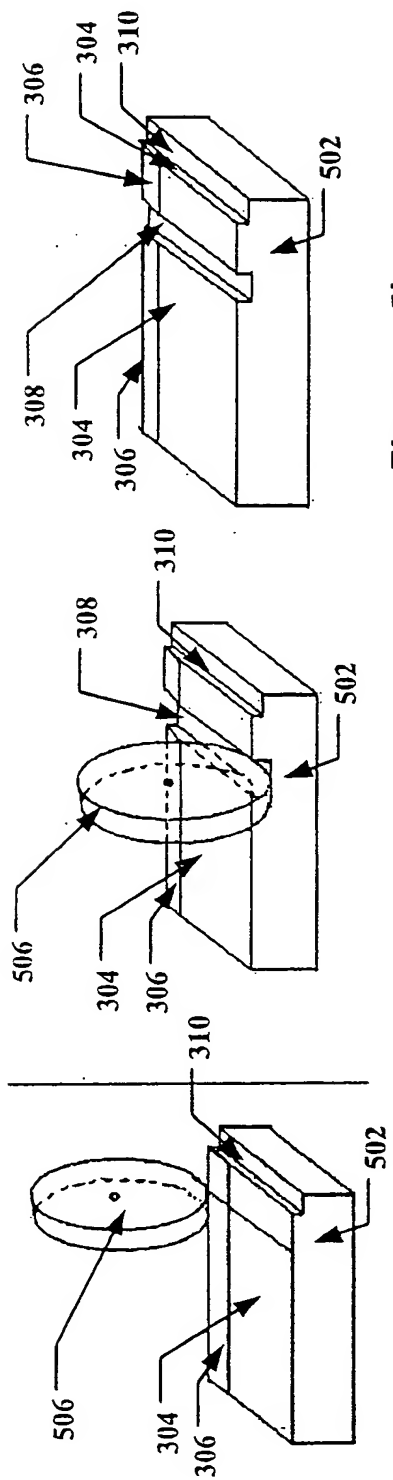


Figure 5i

Figure 5h

Figure 5g

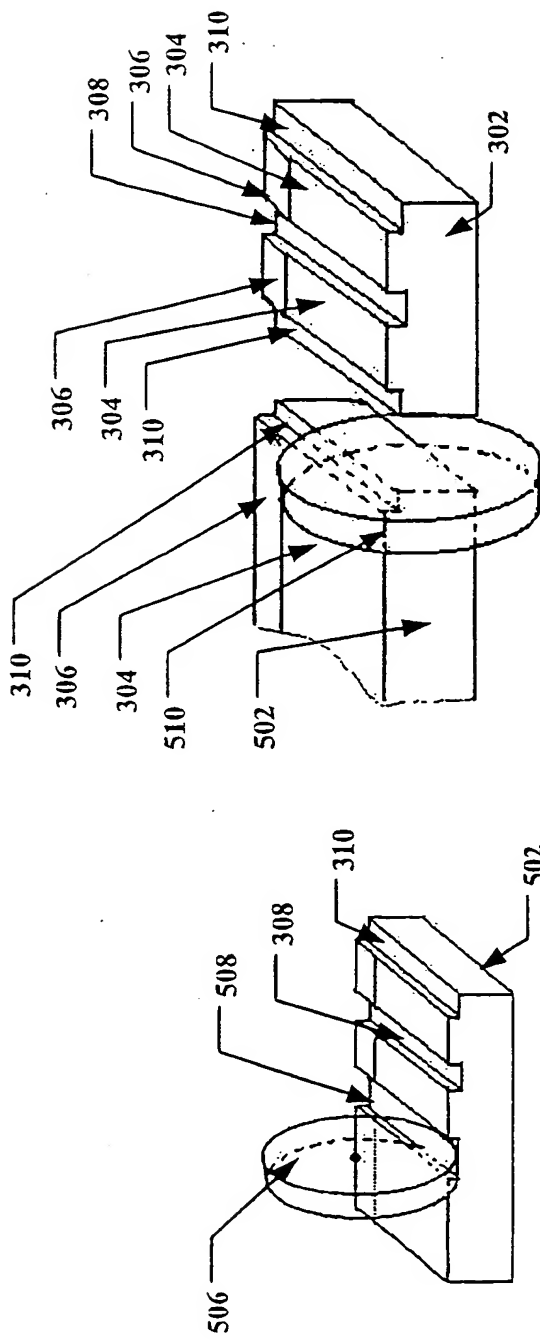


Figure 5k

Figure 5j

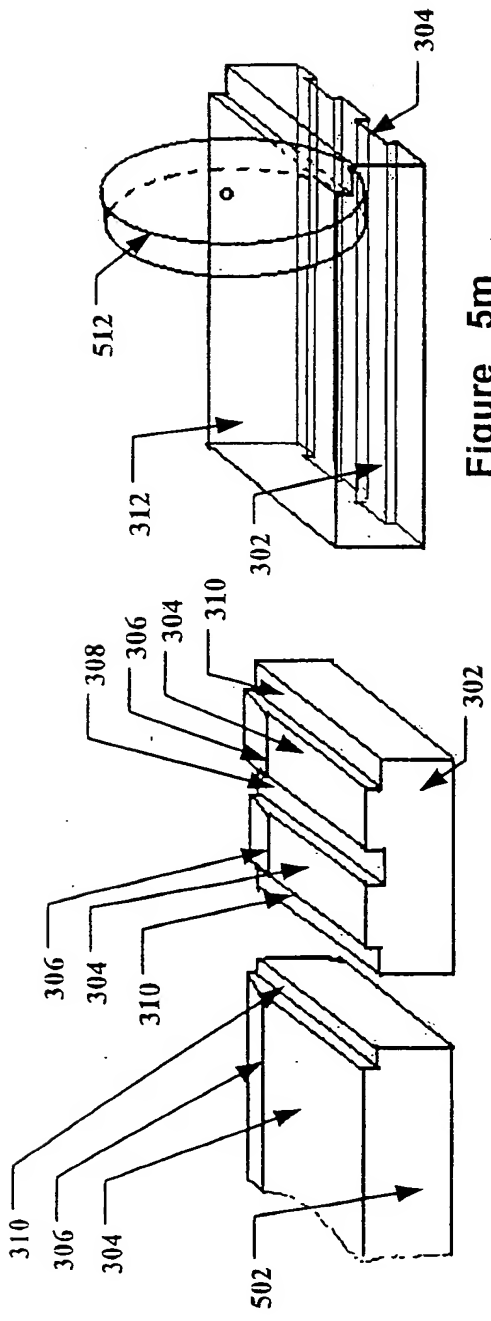


Figure 5l

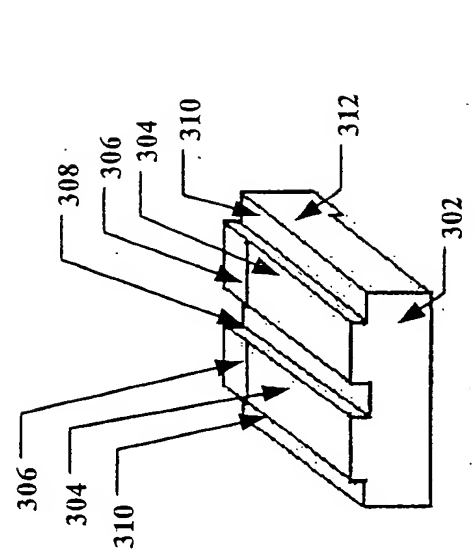


Figure 5m

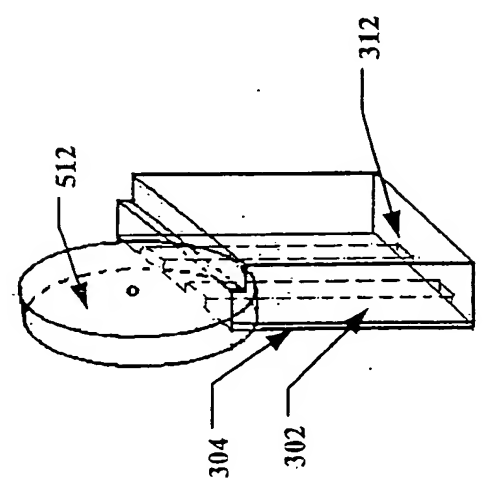


Figure 5n

Figure 5p

8/8

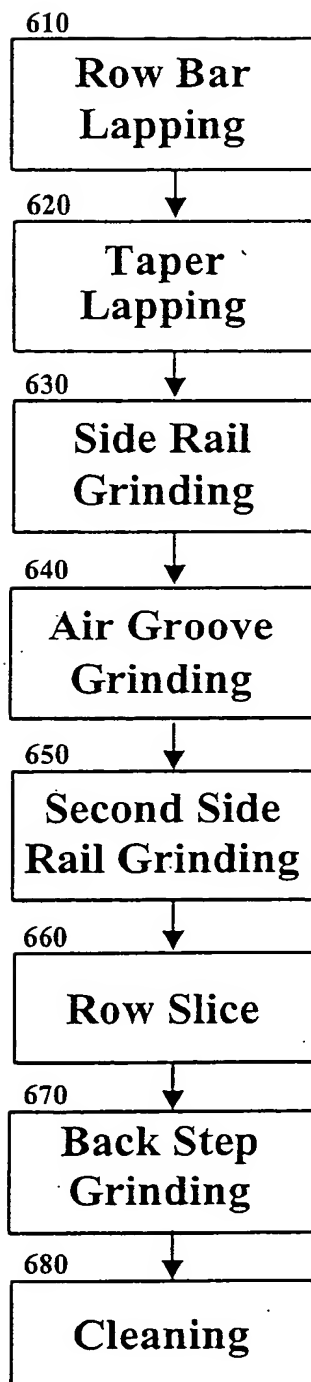


Figure 6